



## Privacy Policy

Federal Privacy laws require every financial services business to make a statement to their clients disclosing their privacy policies. To satisfy this regulatory notice requirement, the Advisor hereby states that it does not disclose any confidential personal information about its clients or former clients to anyone except as required or permitted by law, or as needed to service and maintain client accounts. The only exception will be if the Advisor receives in writing from the Client a request to share information with the Client's other advisors such as accountants, attorneys, etc.

The personal information we collect could include:

- Your name and address
- Investment objectives and experience
- Financial circumstances
- Account balance and account transactions
- Social security number
- Employment information

All financial companies need to share client personal information to run their everyday business. We use information about you to provide our services to you. We may disclose the information to third parties as permitted by law, including the broker/dealers, custodians or other companies used to provide services to you. From time to time, we may be required to give information about our business to regulatory authorities.

We have procedures in place that we believe are reasonably designed to protect the security and confidentiality of your information. These include confidentiality agreements with companies we hire to help us provide services to you, password-protected user access to files, and authentication procedures.

Client: \_\_\_\_\_

Date: \_\_\_\_\_

Client: \_\_\_\_\_

Date: \_\_\_\_\_